

SO      6/27/02

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: Pelrine, et al.

Attorney Docket No.: SRI1P022/US-4076-2

Application No.: 09/619,848

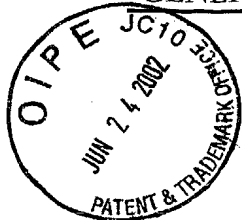
Examiner: To Be Assigned

Filed: July 20, 2000

Group: 2743

Title: ELECTROACTIVE POLYMER  
GENERATORS

#11  
IOS  
C. Hickson  
7-2-02



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on June 19, 2002.

Signed: Natalie Morgan  
Natalie Morgan

**INFORMATION DISCLOSURE STATEMENT  
BEFORE FINAL ACTION OR NOTICE OF ALLOWANCE  
(37 CFR §§ 1.56 AND 1.97(c))**

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JUN 27 2002

**Technology Center 2600**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449, a copy of which is attached, may be material to examination of the above-identified patent application. Applicants submit this reference in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this reference indeed constitutes prior art.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months of the filing date of this application, whichever event occurred last, but it is believed before the mailing date of either: (i) a final action under §1.113 or (ii) a notice of allowance under §1.311, whichever occurs first.

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Accompanying this Information Disclosure Statement is

- a statement as specified in 37 CFR 1.97(e); or  
 the fee set forth in 37 CFR 1.17(p).

**[37 CFR § 1.97(e) Statement, if relied upon]**

The undersigned hereby states:

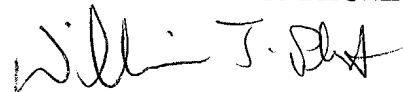
that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Information Disclosure Statement; or

that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

We believe that no Information Disclosure Statement Fees are due. If it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SRI1P022).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP



William J. Plut

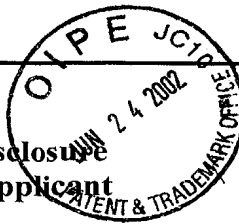
Limited Recognition Under 37 C.F.R. §10.9(b)

P.O. Box 778  
Berkeley, CA 94704-0778  
(510) 843-6200

Form 1449 (Modified)

**Information Disclosure  
Statement By Applicant**

(Use Several Sheets if Necessary)



Atty Docket No.  
SRI1P022/US-4076-2  
Applicant:  
Pelrine *et al.*  
Filing Date  
July 20, 2000

Application No.:  
09/619,848

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Group 2743  
JUN 27 2002

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**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	5,377,258	12/27/94	Bro	379	93	8/30/93
	B	5,722,418	3/3/98	Bro	128	732	9/30/94
	C	6,165,126	12/26/00	Merzenich <i>et al.</i>	600	300	9/15/98
	D	5,913,310	6/22/99	Brown	128	897	10/29/97
	E	5,910,107	6/8/99	Iliff	600	300	5/30/97
	F	5,678,571	10/21/97	Brown	128	898	5/23/94
	G						

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	J							
	K							
	L							
	M							
	N							

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	O	
	P	
	Q	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

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This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

**Expires: May 12, 2003**

  
\_\_\_\_\_  
Harry I. Moatz  
Director of Enrollment and Discipline